

**PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)**  
**(Small Entity)**

Docket No.  
**20062/3-CIP**

**In Re Application Of: Frank et al.**

AUG 29 2005

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/825,426	April 3, 2001	Fults, Richard C.	21710	3628	2062

## Invention: FINANCIAL OPTIMIZATION SYSTEM AND METHOD

**COMMISSIONER FOR PATENTS:**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of March 24, 2005 in the above-identified application.

Date

The requested extension is as follows (check time period desired):

- One month     Two months     Three months     Four months     Five months

from: June 24, 2005

until:

August 24, 2005

Date

- Applicant claims small entity status. See 37 CFR 1.27

The fee for the extension of time is \$225 and is to be paid as follows:

- A check in the amount of the fee is enclosed.
  - The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0369
  - If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0369
  - Payment by credit card. Form PTO-2038 is attached.

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Dated: August 24, 2005

*Signature*

**David D. Lowry, Esq. (Reg. No. 38,538)  
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Attorney for Applicants**

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cc:

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

August 24, 2005

Eric K. Duff  
(Date)

*Signature of Person Mailing Correspondence*

Erin K. Duff

*Typed or Printed Name of Person Mailing Correspondence*

## AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Frank et al.

Docket No.

20062/3-CIP

AUG 29 2005

Application No. 09/825,426	Filing Date April 3, 2001	Examiner Fults, Richard C.	Customer No. 21710	Group Art Unit 3628	Confirmation No. 2062
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Invention: FINANCIAL OPTIMIZATION SYSTEM AND METHOD

COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	20 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- No additional fee is required for amendment.
- Please charge Deposit Account No. 50-0369 in the amount of
- A check in the amount of to cover the filing fee is enclosed.
- The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.
- Any additional filing fees required under 37 C.F.R. 1.16.
  - Any patent application processing fees under 37 CFR 1.17.
- Payment by credit card. Form PTO-2038 is attached.

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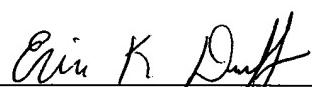
Signature

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 Attorney for Applicants

Dated: August 24, 2005

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(Date)



Signature of Person Mailing Correspondence

Erin K. Duff

Typed or Printed Name of Person Mailing Correspondence

cc: